

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

MAY 15 2001

ATTY. DOCKET NO.

10991054-1

SERIAL NO.

09/483,185

APPLICANT

Francisco Corella

FILING DATE

Jan. 14, 2000

GROUP

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MAY 22 2001
Technology Center-2100

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
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FOREIGN PATENT DOCUMENTS

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							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	M. Boe et al., "TLS-Based Telnet Security," Internet Engineering Task Force, Internet Draft, pp. 1-20, (Updated Version October 24, 2000, Previous Version September 1998). < http://www.normos.org/ietf/draft/draft-ietf-tn3270e-telnet-tls-05.txt>
1R	D. Borman, "Telnet Authentication Option," Network Working Group, Request for Comments: 1416, pp. 1-7, (February 1993). < http://www.csl.sony.co.jp/rfc/>
1S	M. Carpenter et al., "Securing FTP with TLS," Internet Engineering Task Force, Internet Draft, pp. 1-23, (January 28, 1998). < http://www.ar.jgaa.com/ftp/draft/draft-murray-auth-ftp-ssl-03.txt>

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

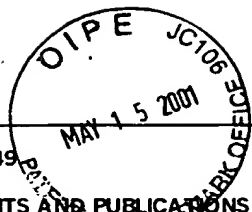
	2Q	C. Ellison et al., "SPKI Certificate Theory," Network Working Group, Request for Comments: 2693, pp. 1-43, (Updated Version September 1999, Previous Version November 17, 1998). < http://www.csl.sony.co.jp/rfc/ >
	2R	S. Farrell, "TLS Extensions for AttributeCertificate Based Authorization," Transport Layer Security Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-11, (August 20, 1998). < http://www.altemic.org/draft/draft-ietf-tls-attr-cert-01.txt >
	2S	M. Horowitz et al., "FTP Security Extensions," Networking Working Group, Request for Comments: 2228, pp. 1-27, (October 1997). < http://www.csl.sony.co.jp/rfc/ >

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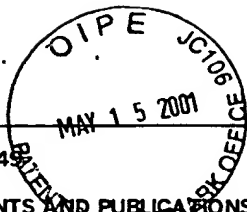
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3Q	R. Housley et al., "FTP Authentication Using DSA," CAT Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-8, (February 1998). < http://www.alternic.org/draft/draft/ietf-cat-ftpd-auth-02.txt >
3R	R. Housley et al., "Telnet Authentication Using DSA," Secure Telnet Working Group, Internet Engineering Task Force, Internet Draft, 7 pages, (July 1998). < http://www.alternic.org/draft/draft/housley-telnet-auth-dsa-02.txt >
3S	J. Kohl et al., "The Kerberos Network Authentication Service (V5)," Network Working Group, Request for Comments: 1510, pp. 1-112, (September 1993). < http://www.csl.sony.co.jp/rfc/ >

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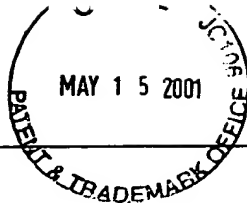
CGB	4Q	S. Micali, "Efficient Certificate Revocation," Massachusetts Institute of Technology, Laboratory for Computer Science, pp. 1-10 (March 22, 1996).
	4R	M. Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol-OCSP," Network Working Group, Request for Comments: 2560, pp. 1-22, (June 1999). < http://www.csl.sony.co.jp/rfc/ >
	4S	M. Naor et al., "Certificate Revocation and Certificate Update," USENIX Association, Seventh USENIX Security Symposium, pp. 217-228, (January 26-29, 1998).

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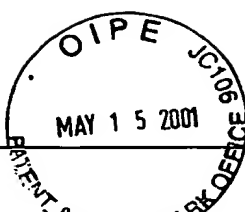
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	5Q	C. New man, "Telnet SASL Option," Network Working Group, Internet Engineering Task Force, Internet Draft, 6 pages, (November 1998). < http://globecom.net/ietf/draft/draft-new-man-telnet-sasl-01.html >
	5R	E. Rescorla, "HTTP Over TLS," Internet Engineering Task Force, Internet Draft, pp. 1-6, (September 1998). < http://www.alternic.org/draft/draft-ietf-tls-https-02.txt >
	5S	RSA Security Inc., "RSA Keon Advanced PKI: A Security Architecture for Enabling E-Business," Solution White Paper, pp. 1-14, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/advpkiwprsa_keon_advanced_pki_wp.pdf >

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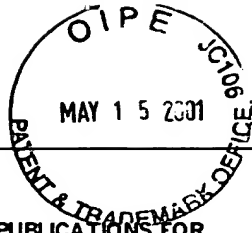
	6Q	RSA Security Inc., "Security Services Provided by the RSA Keon Desktop v5.1," White Paper, pp. 1-8, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/desktop/keon_desktop_wp.pdf >
	6R	B. Tung et al., "Public Key Cryptography for Initial Authentication in Kerberos," Internet Engineering Task Force, Internet Draft, 21 pages (Expires May 15, 1999) < http://www.alternic.org/draft/draft-ietf-cat-kerberos-pk-init-07.txt >
	6S	Web Page of the "Simple Public Key Infrastructure (spki)," Working Group, 2 pages, (Last Modified January 16, 2001), < http://www.ietf.org/html.charters/spki-charter.html >

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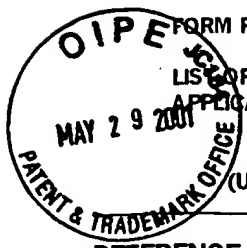
CGR	7Q	Web Page of the "Transport Layer Security (tls)," Working Group, 2 pages, (Last Modified March 30, 2001), < http://www.ietf.org/html.charters/tls-charters.html >
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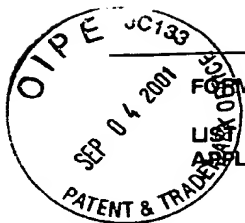
CJB	1Q	International Telecommunication Union (ITU-T) Recommendation X.509, "Information Technology Open Systems Interconnection, The Directory: Authentication Framework," pp. i-81 (June 1997).
	1R	
	1S	

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CJB	1L	WO 99/35783	15 July 1999	Matthew Hur et al.				
	1M							
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	1Q	R. Rivest, "Can We Eliminate Certificate Revocation Lists?," MIT Laboratory for Computer Science, pp. 178-183, (Feb. 1998). < http://theory.lcs.mit.edu/rivest >
	1R	Menezes, "Handbook of Applied Cryptography," pps. 33, 37-39, 321-322, 559-560, 576-577, (1997).
	1S	A copy of PCT International Search Report for International Application No. PCT/US01/01520 mailed on August 10, 2001(8 pages).

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
CJB	1A	4,881,264	11/1989	Merkle	
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
CJB	1L	WO 01/43344	14 June 2001	Burton S. Kaliski Jr		
	1M					
	1N					
	1O					
	1P					

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CJB	1Q	Press, Jim, "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, Vol. 10, No. 2., pps. 117-127, (April 1991).
	1R	"SET Secure Electronic Transaction Specification," Book 1: Business Description, Version 1.0, XP-002203148, pps. i-72, (May 31, 1997).
	1S	A partial copy of European Search Report for Application No. EP 00 31 0771 mailed on July 12, 2002 (4 pages).

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